Application Serial No. 10/023,241 Attorney Docket No. 01-1016

Amendment Dated January 16, 2009 – Reply to Office Action of July 16, 2008

AMENDMENTS TO THE CLAIMS

Kindly replace the claims as follows.

1-12. (cancelled)

13. (currently amended) A data processing system, comprising:

a plurality of communications links designed to communicate trade information to and

from a plurality of workstations, the trade information comprising information describing orders

in an electronic market for items offered for sale or bid to buy, at least some of the trade

information to be presented to the workstations;

a commission processor programmed eommission processing module designed to receive

information relating to execution of trades in the items, and to determine a commission payable

by a customer to a trade, or to apply a credit against commission fees to the customer, based at

least in part on one or more characteristics from the group consisting of the time of the trade, day

of the trade, location of a trade, and the customer trading location.

14-22. (cancelled).

23. (previously presented) A data processing system for implementing a commission

allocation process in transacting the purchase and sale of select items, said system comprising:

a plurality of workstations for presenting trade information relating to trades of items

arranged by the data processing system;

a server programmed to receive said trade information from said plurality of

workstations, and to determine a commission payable by a customer to a trade, or to apply a

credit against commission fees to the customer, based at least in part on one or more

characteristics of the trade from the group consisting of the time of the trade, day of the trade,

location of the trade, and the customer trading location.

24. (cancelled)

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25. (previously presented) A method for determining a commission on a trade, said

method comprising:

providing control logic designed to receive information relating to execution of trades in

the items, and to determine a commission payable by a customer to a trade, or to apply a credit

against commission fees to the customer, based at least in part on one or more attributes of a

trade of an item arranged by a computer system between at least two customers, the one or more

attributes being drawn from the group consisting of the time of the trade, day of the trade,

location of the trade, and customer trading location; and

determining the commission for the trade based on the one or more attributes of the trade.

26. (cancelled)

27. (previously presented) The method of claim 25 further comprising:

assigning at least one of said two customers said commission based on the one or more

attributes of the trade; and

presenting said commission to said at least one of said two customers.

28-31. (cancelled)

32. (previously presented) The method of claim 25 wherein the commission is further

based on whether at least one of said two customers was active or passive during said trade.

33. (previously presented) The method of claim 25 wherein the commission is further

based on a class which includes said item.

34-36 (cancelled).

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37. (previously presented) A method for determining a reward for at least one customer,

said method comprising:

providing control logic designed to determine a commission payable by a customer to a

trade, or to apply a credit against commission fees to the customer, based at least in part on one

or more attributes of a trade of an item arranged by a computer system between at least two

customers, the one or more attributes being drawn from the group consisting of the time of the

trade, day of the trade, location of the trade, and customer trading location; and

storing in the memory of the computer system for offset against future commissions an

amount of a reward based at least in part on the characterized attribute of the trade.

38-42. (cancelled).

43. (previously presented) The method of claim 37 [[42]] wherein the commission is

further based on whether said customer was active or passive during said trade.

44-49. (cancelled)

50. (original) The method of claim 37 wherein said determining said reward comprises

determining whether items similar to said item being traded by said customer were traded by

other customers at the time, day, or location said item was traded by said customer.

51. (original) The method of claim 37 wherein said determining said reward comprises

determining whether said customer is a new customer.

52. (original) The method of claim 37 wherein said determining said reward comprises

rewarding said customer for participating in at least part of said trade.

53. (original) The method of claim 37 wherein determining said reward comprises

determining a rebate, a credit, or both assigned to said customer.

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54. (cancelled)

55. (currently amended) The data processing system of claim 13, wherein: the commission <u>processor being processing module further programmed to</u> determine

[[s]] the commission based at least in part on the time of the trade.

56. (currently amended) The data processing system of claim 13, wherein: the commission <u>processor being processing module further programmed to</u> determine

[[s]] the commission based at least in part on the day of the trade.

57. (currently amended) The data processing system of claim 13, wherein:

the commission <u>processor being processing module further programmed to</u> determine

[[s]] the commission based at least in part on the location of the trade.

58. (currently amended) The data processing system of claim 13, wherein:

the commission processor being processing module further programmed to determine

[[s]] the commission based at least in part on an offset credit earned by previous posting of bids

or offers by the customer.

59. (currently amended) The data processing system of claim 13, wherein:

the commission processor being processing module further programmed to determine

[[s]] the commission based at least in part on whether the customer was on an active side or a

passive side of the trade.

60. (currently amended) The data processing system of claim 13, wherein:

the commission processor being processing module further programmed to determine

[[s]] the commission based at least in part on whether there is a spread in the transaction.

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61. (currently amended) The data processing system of claim 13, wherein: the commission <u>processor being processing module</u> further <u>programmed to</u> determine

[[s]] the commission based at least in part on the customer's method of accessing the system.

62. (currently amended) The data processing system of claim 13, wherein: the commission processor being processing module further programmed to determine [[s]] the commission based at least in part on a number of transactions the customer has executed.

63. (currently amended) The data processing system of claim 13, wherein: the commission processor being processing module further programmed to determine [[s]] the commission based at least in part on a level of liquidity of the item subject of the trade.

- 64. (previously presented) The method of claim 25, wherein: the control logic determines the commission based at least in part on the time of the trade.
- 65. (previously presented) The method of claim 64, wherein: the control logic determines the commission based at least in part on the day of the trade.
- 66. (previously presented) The method of claim 64, wherein: the control logic determines the commission based at least in part on the location of the trade.
- 67. (previously presented) The method of claim 25, wherein: the control logic determines the commission based at least in part on an offset credit earned by previous posting of bids or offers by the customer.

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68. (previously presented) The method of claim 25, wherein:

the control logic further determines the commission based at least in part on whether there is a spread in the transaction.

69. (previously presented) The method of claim 25, wherein:

the control logic further determines the commission based at least in part on a level of liquidity of the item subject of the trade.

70. (previously presented) The method of claim 37, wherein:

the reward is determined based at least in part on the time of the trade.

71. (previously presented) The method of claim 37, wherein:

the reward is determined based at least in part on the day of the trade.

72. (previously presented) The method of claim 37, wherein:

the reward is determined based at least in part on the location of the trade.

73. (previously presented) The method of claim 37, wherein:

the reward is further determined based at least in part on whether the customer was on an active side or a passive side of the trade.

74. (previously presented) The method of claim 37, wherein:

the reward is further determined based at least in part on whether there is a spread in the transaction.

75. (previously presented) The method of claim 37, wherein:

the reward is further determined based at least in part on the customer's method of accessing the system.

76. (previously presented) The method of claim 37, wherein:

the reward is further determined based at least in part on a number of transactions the customer has executed.

77. (previously presented) The method of claim 37, wherein:

the reward is further determined based at least in part on a level of liquidity of the item subject of the trade.